



STATE OF RHODE ISLAND OFFICE OF THE GENERAL TREASURER

REQUEST FOR PROPOSAL FOR COMPREHENSIVE BANKING & CASH MANAGEMENT SERVICES

APPENDICES

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POLICY**

INVESTMENT POLICY STATEMENT
for
State of Rhode Island
Short-Term Investments

8th Draft Prepared: 2025
Adopted Date: TBD
Effective Date: TBD
Review Date:

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1. PURPOSE

The intention of this Investment Policy Statement (“IPS”) is to outline the State Investment Commission’s (“SIC”) policies for the management of Short-Term Investments of the State of Rhode Island (“the State”). Short Term Investments are defined within this policy as cash deposit accounts held at financial institutions and short-term fixed income securities held at the State’s custodian.

The purpose of Short-Term Investments is to cover the disbursements of the State. The purpose of this IPS is to provide guidance on the oversight and management in accordance with stated Investment Objectives (see page 2).

This policy is designed to be compliant with the State’s General Laws regarding Public Finance, adaptive as needed to applicable accounting standards, statutory requirements and investment agreements while accommodating the nature of the State’s underlying obligations. See Appendix A for further information regarding State statutes related to this IPS.

Investment Policy Review and Evaluation

It is not expected that the IPS will change frequently. Short-term changes in the financial markets should not require adjustments to the IPS. The IPS will be reviewed annually by the SIC to determine whether any changes are appropriate.

2. INVESTMENT OBJECTIVES

The primary investment objective of the Short-Term Investment portfolio is to maintain levels of liquidity necessary to meet the State's disbursement needs while preserving principle and achieving a competitive market yield.

Key investment tenets are:

1. Legal Conformity - compliance with State statutes regarding the investment of State funds (see Appendix A, for informational links to Public Finance statutes).
2. Safety - investments are in high quality, short maturity, fixed income securities with the intention of preserving principal.
3. Liquidity - maintenance of appropriate levels of liquidity to fund the State's disbursements.
4. Rate of Return - there is an expectation of market comparable return based on account structure and/or investment security.

3. RESPONSIBILITIES

A. Responsibilities of the SIC

The SIC, chaired by the General Treasurer, is a Rhode Island governmental commission that has oversight responsibility for the investments of the State, including Short Term Investments (see Appendix A for reference link for more information regarding the SIC). The responsibilities of the SIC related to Short Term Investments include:

1. Approving IPS and any modifications.
2. Overseeing compliance with stated IPS investment objectives, permitted investments, performance benchmarking and reporting.
3. On a monthly basis monitoring:
 - i. Overall portfolio structure
 - ii. Asset valuation reports

B. Responsibilities of the Office of the General Treasurer

1. Exercising authority to engage or replace any retained professional experts, as it deems appropriate, at any time. These include but are not limited to:
 - i. **Investment Manager(s).** An Investment Manager has the discretion to purchase, sell, or hold specific securities to meet short term investment objectives. These securities are purchased on behalf of the State and held by the State's custodian (see Investment Manager Vendor Criteria on page 6).
 - ii. **Investment Consultant(s)** ("Consultant"). A consultant may assist the Investment Staff in establishing investment policy objectives and guidelines; reviewing Investment Managers over time; measuring and evaluating investment performance; and other tasks as deemed appropriate, and as otherwise provided through a contractual agreement between the parties.
2. Maintaining internal controls with the intention of preventing loss of funds due to fraud, error, misrepresentation, or imprudent actions.
3. Exercising responsibility for the following:
 - i. Monitoring the Short-Term Investment portfolio, managed by Investment Manager, ensuring sufficient liquidity levels to meet the State's disbursements.
 - ii. Allocating capital between investment deposit accounts and payment accounts.

3. RESPONSIBILITIES

- iii. Keeping a list of all the State accounts applicable to this Short-Term Investment IPS, (see Appendix B).
- iv. Procuring and managing the operations of the Short-Term Investment program including all service provider relationships.
- v. Preparing monthly Short-Term Investments report for the SIC, including:
 - 1. Market value of the portfolio and current yield
 - 2. Identified variances to this IPS
- vi. Recording compliance, as applicable, of all participating depository financial institutions with:
 - 1. The State's Community Reinvestment Act (see Depository Financial Institution Vendor Criteria pages 7 & 8)
 - 2. The State's collateral requirements (see Cash Deposit Account Collateral Requirements page 9)

C. Ethics and Conflicts of Interest

All members of the SIC and the Office of the General Treasurer shall refrain from personal activity that could conflict with the proper execution and management of Short-Term Investments or impair their ability to make impartial decisions. The SIC and Office of the General Treasurer must adhere to the Code of Ethics outlined in State statues 35-10-7 and 36-14.

D. Responsibilities of Service Providers

Investment Managers and Depository Financial Institutions with Cash Deposit Accounts are given a copy of this IPS and required to provide Investment Staff prompt notice of their failure to comply with this policy and/or any material changes to their required disclosure information. Further, service providers provide annual attestation based on the state's fiscal year end of their compliance with this IPS by November 30th of each year.

4. Permitted Investments

The Short-Term Investment portfolio is comprised of collateralized cash deposit accounts held at financial institutions and short-term fixed income securities. The table below provides a breakdown of permitted investments, the composition, and the maximum maturity of the category comprised of US Treasury and Agency securities. Permitted investments may exceed the maximum allowances listed below as a function of market conditions. Under those circumstances, The Office of the General Treasurer will develop a plan to determine the feasibility of reducing the allocation of any particular permitted investment category, and to monitor the portfolio for long-term adherence to the allowances.

Permitted Investments	Portfolio Maximum Allowance*	Market Equivalent Benchmark
U.S. Treasury & Agency Bills, Notes, Bonds or Repos backed by direct obligations of U.S. Gov't* Short term obligations issued by U.S. Gov't and U.S. Gov't Agencies maturing in 397 days or less at time of purchase.	100%	BoA Merrill Lynch 0-1 yr U.S. Treasury Index
Ocean State Investment Pool (OSIP)** Advisor managed in accordance with Rule 2a-7.	60%	FTSE 3-month T-Bill Index***
Cash Deposit Accounts Funds on deposit with financial institutions, earning a return and fully collateralized at no less than 102% of principle in accordance with the State's requirements. See Collateralization section for more information on collateralization requirements.	75%	30-Day U.S. T-Bill
Insured Cash Sweep	50%	FTSE 3-month T-bill index

*All security investments will be purchased on behalf of the State and held by a custodian selected by the State or by its sub-custodian agent, unless otherwise directed to another custodian by the Office of the General Treasurer. Securities lending by this safekeeping agent is not permitted. The custodian provides the Office of the General Treasurer with online access to the State's short term investment accounts. Further, the custodian provides the State with statements of all short-term investment transactions and asset valuations monthly.

**The Ocean State Investment Pool (OSIP) is an advisor-managed cash portfolio approved through legislation. Assets in OSIP may only be invested in securities that would constitute "Eligible Securities" under and as defined in Rule 2a-7 of the Investment Company Act of 1940. Additionally, OSIP must be managed to meet the criteria for amortized cost measurement as defined by the Government Accounting Standards Board (GASB)

4. Permitted Investments

Statement Number 79. The maximum maturity of any security value in the pool will also be limited to the guidelines of Rule 2a-7 and Statement Number 79. See Appendix A for reference links to more information regarding OSIP.

***OSIP Benchmark as of 2/28/25.

5. INVESTMENT MANAGER CRITERIA

The Office of the General Treasurer may engage Investment Manager(s) to manage the short-term fixed income securities as described in Permitted Investments section. The Office of the General Treasurer follows a prudent process when selecting Investment Manager(s). Investment Manager(s) may be terminated or replaced at the discretion of the Office of the General Treasurer, based on the criteria used to hire Manager(s) below.

Investment Manager criteria includes the following conditions or qualifications:

- Registered Financial Industry Regulatory Authority (FINRA) broker or Securities Exchange Commission (SEC) Investment Adviser
- SEC Form ADV report available for review upon request
- Fee-based Investment Adviser/Broker established for more than three (3) years
- The State's investments shall be less than 10% of the Firm's assets under management (AUM)
- Investment portfolio characteristics are consistent with the strategy for which they were hired
- Timely submission of all agreed upon reports including performance evaluation reports, net of fees, illustrating holdings and the risk/return profile of their investment portfolio
- Promptly report any material changes in firm information provided at the time of selection (including reporting of any material litigation and fraud, key clients, costs, and support personnel)
- Competitive fees compared to similar investment options
- Performance evaluated in comparison with appropriate market index/and or peer group
- Annual written attestation that the Investment Manager reviewed the IPS

6. CASH DEPOSIT ACCOUNTS: VENDOR CRITERIA

All depository financial institutions of the State are selected by the Office of the General Treasurer. The Office of the General Treasurer reserves the right, at its sole discretion, to withdraw its approval of any depository institution at any time, without notice.

A. Vendor Criteria

The following information is required from all existing depository financial institutions of the State on an annual basis:

- Audited financial report
- Published credit ratings by S&P Global and Moody's Ratings
- Community Reinvestment Act rating
- Attestation of compliance with this IPS

Depository financial institutions meeting or exceeding the following criteria are eligible for consideration by the Office of the General Treasurer.

B. Banks and Credit Unions

The depository financial institution must be covered by federal deposit insurance from either the Federal Deposit Insurance Corporation (FDIC) or thrifts' National Credit Union Administration (NCUA).

The depository financial institution must attest annually they meet or exceed the following:

- Three years of operating history
- An investment grade short-term rating from a nationally recognized statistical rating organization (NRSRO), or a minimum grade of Satisfactory from a bank rating service, e.g. Veribanc
- Positive return on assets in four of the last six quarters, as measured by data at the close of each quarter
- Federal Reserve risk-based capital ratio of at least 8%
- Non-performing assets as a percentage of total assets less than 3%.

Any depository financial institution that does not meet these minimum criteria may be approved by exception only through a unanimous vote by the SIC.

6. CASH DEPOSIT ACCOUNTS: VENDOR CRITERIA

C. Preference for Banks with Outstanding Community Reinvestment Act Ratings

Preference will be given to depository financial institutions with an “outstanding” rating in accordance with the State’s Linked Deposit Policy, set forth in Rhode Island General Laws Section 35-4-4.1 through 35-4-4.7, aimed to increase the availability of capital and banking services in low-and moderate-income neighborhoods in Rhode Island (see Appendix A, Linked Deposits for informational links related to this statute).

D. Preference for Participants in the Community Deposit Program

The SIC permits up to \$50 million of the State’s cash balances to be invested in depository financial institutions that put this capital to work supporting Rhode Island based community investment through the Community Deposit Investment Program.

To qualify for Community Deposit Program preference, depository financial institutions must attest to meeting the requirements set forth in this IPS, as a Permitted Cash Deposit Account.

See Appendix A under Community Deposit Program for a link for more information regarding this program.

7. CASH DEPOSIT ACCOUNTS: COLLATERAL REQUIREMENTS

Funds held in Cash Deposit Accounts are to be collateralized in accordance with Chapter 10.1 of Title 35 of the General Laws entitled “Collateralization of Public Deposits”. All deposits held at depository financial institutions on behalf of the State must be fully collateralized at no less than 102% of principal (SIC requirement) unless the amount is covered by federal deposit insurance (either banks’ Federal Deposit Insurance Corporation [FDIC] or thrifts’ National Credit Union Administration [NCUA]).

A. Exceptions to Collateral Requirements

Exceptions to collateral requirements are:

- Investment securities held with the State’s safekeeping custodian or sub-custodian agent.
- OSIP holdings
- Amounts covered by federal deposit insurance (FDIC or NCUA) including Insured Cash Sweep Accounts (ICS).

B. Types of Permitted Collateral

Acceptable types of collateral are:

- U.S. Government Treasuries
- U.S. Government Agencies
 - Federal Home Loan Bank
 - Federal Farm Credit Banks
 - Government National Mortgage Association
 - Federal Home Loan Mortgage Corporation
 - Federal National Mortgage Association

C. Collateral Custody Requirements

Collateral may be held, evidenced by written agreement, in order of the State’s preference:

1. Independent third-party institution, in the State’s name
2. Federal Reserve Bank account in the State’s name
3. Separate account at the financial institution, held for the benefit of State

7. CASH DEPOSIT ACCOUNTS: COLLATERAL REQUIREMENTS

D. Collateral Valuation

Collateral is marked to market on a weekly basis by custodian. The Office of the General Treasurer reviews the quarterly report provided by the depository financial institution certifying compliance with this requirement. The report includes a detailed listing of the amount and type of collateral.

E. Collateral Alternative

The State may accept a letter of credit for the benefit of the State from one of the Federal Home Loan Banks, as an alternative to collateral. The letter(s) of credit must represent 100% of the value of the State's depository account. Such letter(s) of credit are maintained by the Office of the General Treasurer.

APPENDIX A: APPLICABLE STATUTE & INFORMATION REFERENCE

This IPS is intended to be compliant with the State's General Laws regarding Public Finance. The following provides some informational reference links to materials relevant to the State's Short Term Investment Program:

- **Public Finance, General Laws, Section 35:**
 - webserver.rilegislature.gov//Statutes/TITLE35/INDEX.HTM
- **State Investment Commission (SIC), Section 35, Public Finance, Chapter 10:**
 - webserver.rilegislature.gov//Statutes/TITLE35/35-10/INDEX.htm
 - webserver.rilegislature.gov//Statutes/TITLE35/35-10/35-10-7.htm
- **Public Officers and Employees, Code of Ethics, Section 36:**
 - webserver.rilegislature.gov//Statutes/TITLE36/36-14/36-14-1.htm
- **Ocean State Investment Pool (OSIP), established by the General Assembly, General Laws, additional informational links:**
 - webserver.rilegislature.gov//Statutes/TITLE35/35-10.2/INDEX.htm
 - [Ocean State Investment Pool | Rhode Island Office of the General Treasurer \(ri.gov\)](http://Ocean%20State%20Investment%20Pool%20|%20Rhode%20Island%20Office%20of%20the%20General%20Treasurer%20(ri.gov))
 - Investment Circular: <https://osip.fidelity.com/>
- **Linked Deposit Policy - Community Reinvestment Act, Section 35, Public Finance, Chapters 4 - 4.6:**
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.1.htm
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.2.htm
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.3.htm
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.4.htm
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.5.htm
 - webserver.rilegislature.gov/Statutes/TITLE35/35-4/35-4-4.6.htm
- **Community Deposit Program:**
 - [BankLOCAL | Rhode Island Office of the General Treasurer \(ri.gov\)](http://BankLOCAL%20|%20Rhode%20Island%20Office%20of%20the%20General%20Treasurer%20(ri.gov))
 - [Community Deposit Program Policy | Rhode Island Office of the General Treasurer \(ri.gov\)](http://Community%20Deposit%20Program%20Policy%20|%20Rhode%20Island%20Office%20of%20the%20General%20Treasurer%20(ri.gov))

APPENDIX A: APPLICABLE STATUTE & INFORMATION REFERENCE

- Collateralization of Public Deposits, Section 35 Public Finance, Chapter 10.1:
 - webserver.rilegislature.gov/Statutes/TITLE35/35-10.1/INDEX.htm

APPENDIX B: LIST OF APPLICABLE SERVICE PROVIDERS

A list of applicable Service Providers (effective as of January 31, 2025) can be found in the Short-Term Investments section (p.57) of the January 31, 2025 State Investment Commission Book: <https://data.treasury.ri.gov/dataset/334f1487-e917-4ec6-bda5-6ed7037f675c/resource/2073984f-53da-4403-b2a5-9fe0341354fb/download/ersri-sic-book-1.31.25.pdf>

Additional State Investment Commission Books can be found at:
<https://treasury.ri.gov/investments/open-meetings-reports/state-investment-commission-monthly-reports>

APPENDIX C: PERMITTED INVESTMENTS

The State may only invest funds in the following instruments in accordance with this policy:

- (i) U.S. Treasury Bills, short-term obligations issued by the United States Government with maturities of one year or less.
- (ii) Near-Maturity U.S. Treasury Notes or Bonds, obligations issued by the United States Government with a remaining maturity of 397 days or less.
- (iii) U.S. Agency Obligations, short-term obligations of U.S. Government Agencies or Instrumentalities with maturities of 397 days or less. However, investments in U.S. Agency obligations that have been securitized in a collateralized mortgage trust are prohibited.
- (iv) Repurchase Agreements (Repo), a contractual obligation between the State and a seller. In a Repo, the seller receives cash and delivers U.S. Treasury or U.S. Agency securities with maturities of no longer than 3 years as collateral, which is custodied at a third party. The collateral value is to be marked to market weekly. The Repo seller agrees to repurchase the securities at predetermined dates and rates. Repos may only be purchased from financial institutions or broker/dealers who have signed a master repurchase agreement acceptable to the Office of the General Treasurer. The approved form of Master Repurchase Agreement is the current form used by Bond Market Association (formerly the Public Securities Association). Reverse Repurchase Agreements are prohibited.
- (v) Ocean State Investment Pool (OSIP), an advisor-managed cash portfolio approved through legislation in June of 2011. Assets in OSIP may only be invested in securities that would constitute “Eligible Securities” under and as defined in Rule 2a-7 of the Investment Company Act of 1940. Additionally, OSIP must be managed to meet the criteria for amortized cost measurement as defined by the Government Accounting Standards Board (GASB) Statement Number 79. The maximum maturity of any security value in the pool will also be limited to the guidelines of Rule 2a-7 and Statement Number 79.
- (vi) Cash Deposit Accounts
 - Collateralized Deposit Accounts, State funds can be invested into deposit accounts with agreed rates of return and guaranteed availability of funds. Funds on deposit within the account are collateralized in accordance with the requirements noted above and are adjusted daily as needed.
 - Overnight Sweep Account, an interest-earning account into which funds may be automatically transferred. The State’s excess funds in one bank account may be automatically swept into an interest- earning account with the same

APPENDIX C: PERMITTED INVESTMENTS

bank and invested overnight, as long as funds on deposit are collateralized in accordance with the requirements noted above for Collateralized Deposit Accounts and are adjusted daily as needed.

- Certificates of Deposit (CDs), obligations issued by financial institutions for specific maturities at a stated rate of interest. Maturities of CDs must be 397 days or less.
 - IntraFi Network Deposits, fka Certificate of Deposit Account Registry Service (CDARS), investments distributed by a promontory network into CDs at various financial institutions in amounts that qualify for federal deposit insurance coverage, earning an agreed-upon interest rate. The CDs offered under this program are fixed-term deposits, of which the maximum maturity will be limited to 397 days.
- (vii) Insured Cash Sweep Municipal Checking Money Market Account (ICS), investments distributed by a promontory network to multiple banks to qualify for federal deposit insurance, in return for an agreed-upon interest rate. The bank or dealer is responsible for distributing funds and ensuring compliance with current federal deposit insurance coverage guidelines, and must provide evidence of coverage on a monthly basis. These investments do not require collateralization as they are fully covered by federal deposit insurance.

Appendix B
List of State Accounts

Account Name	Account Type	Average Balance
PAYROLL A ACCOUNT	Full Analysis Business Checking	\$324,760
PENSION C	Full Analysis Business Checking	\$358,551
DEPT OF CHILDREN AND FAMILIES	Full Analysis Business Checking	\$57
ST OF RI DEPT OF HUMAN SERVICES	Full Analysis Business Checking	\$276,725
RI SPECIAL PAYROLL ACCT	Full Analysis Business Checking	\$35,934
STATE OF RHODE ISLAND PENSION DIRECT DEPOSIT ACCT	Full Analysis Business Checking	\$19,973
STATE OF RHODE ISLAND DEPT OF EMPLOY SEC & TRAINING	Full Analysis Business Checking	\$645
STATE OF RHODE ISLAND	Full Analysis Business Checking	\$2,729,037
STATE OF RHODE ISLAND TDI-BENEFIT PAYMENT FUND	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE UNIVERSITY COLLEGE FUND	Full Analysis Business Checking	\$2,044,772
STATE OF RHODE ISLAND T D I RESERVE FUND	Full Analysis Business Checking	\$3,457,336
STATE OF RHODE ISLAND EMPLOY & TRAINING DIVISION CLEARANCE	Full Analysis Business Checking	\$593,002
STATE OF RHODE ISLAND WIC FARMERS MARKET	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE LOTTERY PRIZE FUND	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND EMPLOYMENT & TRAINING DIV COMBINED TAX ACCOUNT	Full Analysis Business Checking	\$6,497,807
STATE OF RHODE ISLAND LOTTERY FUND	Full Analysis Business Checking	\$1,090,853
STATE OF RHODE ISLAND GENERAL PUBLIC ASSISTANCE	Full Analysis Business Checking	\$201,799
STATE OF RHODE ISLAND SUPPORTIVE SERVICES PAYROLL	Full Analysis Business Checking	\$110,009
STATE OF RHODE ISLAND REC AREA DEV FUND	Full Analysis Business Checking	\$94,583
STATE OF RHODE ISLAND RECORD CENTER FUND	Full Analysis Business Checking	\$151,634
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO PATIENT ACCOUNT	Full Analysis Business Checking	\$20,668
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO CUSTODIAL ACCOUNT	Full Analysis Business Checking	\$118,598
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO PETTY CASH ACCOUNT	Full Analysis Business Checking	\$75
STATE OF RHODE ISLAND WILLIAM M DAVIES TECH SCHOOL	Full Analysis Business Checking	\$70,704
STATE OF RHODE ISLAND DEPT OF CHILDREN YOUTH AND FAMILIES COLLECTIVE SSA TRUST ACCOUNT	Full Analysis Business Checking	\$494,347
STATE OF RHODE ISLAND ADULT CORRECTIONAL INSTITUTE	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND DHS-IMPREST D-GPA-DAILY	Full Analysis Business Checking	\$27,922
STATE OF RHODE ISLAND DCYF IMPREST CASH	Full Analysis Business Checking	\$4,078
STATE OF RHODE ISLAND ACI CASH BAIL FUND	Full Analysis Business Checking	\$12,656

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STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL PATIENTS CUSTODIAL ACCOUNT	Full Analysis Business Checking	\$36,406
STATE OF RHODE ISLAND CUSTODIAL FUNDS CLEARING ACCT. ADULT CORRECTIONAL INSTS	Full Analysis Business Checking	\$664,118
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL IMPREST CASH FUND	Full Analysis Business Checking	\$679
STATE OF RHODE ISLAND IMPREST B PUBLIC ASSISTANCE	Full Analysis Business Checking	\$40,951
STATE OF RHODE ISLAND IMPREST A PUBLIC ASSISTANCE	Full Analysis Business Checking	\$4,366
STATE OF RHODE ISLAND RI COMM LIVING AND SUP RICLAS	Full Analysis Business Checking	\$146,047
STATE OF RHODE ISLAND RI COMM LIVING AND SUP SOUTH	Full Analysis Business Checking	\$19,363
STATE OF RHODE ISLAND RI COMM LIVING AND SUP CENTRAL	Full Analysis Business Checking	\$25,762
STATE OF RHODE ISLAND DBR LICENSE E RENEWALS	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND RI DEPT OF CHILDREN YOUTH & FAMILIES COLLECTIVE DEDICATED SSA TRUST ACCOUNT	Full Analysis Business Checking	\$44,421
STATE OF RHODE ISLAND CROSS STATE TAX REFUND OFFSET	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE POLICE CONFIDENTIAL-IMPREST	Full Analysis Business Checking	\$23,103
STATE OF RHODE ISLAND DLT DISBURSEMENT ACCOUNT	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE INFRASTRUCTURE BANK FUND	Full Analysis Business Checking	\$2,220,690
STATE OF RHODE ISLAND TOPS TAXATION COLLECTION	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE OF RI TANS 2010	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND STATE OF RI HP LOCKBOX FOR RITE CARE	Full Analysis Business Checking	\$342,998
STATE OF RHODE ISLAND UNCLAIMED PROPERTY CLEARING	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND RHODE ISLAND LOTTERY F/B/O LOTTERY	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND LARGE SYSTEMS INITIATIVE FUND	Full Analysis Business Checking	\$56,744
STATE OF RHODE ISLAND RIDE DIVISION OF HIGHER EDUCATION	Full Analysis Business Checking	\$8,069
STATE OF RHODE ISLAND COLLECTIONS UNIT STATE OF RI	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND DCYF VEC PROGRAM	Full Analysis Business Checking	\$9,748
STATE OF RHODE ISLAND DOH EWIC ACCOUNT	Full Analysis Business Checking	\$1,061,205
STATE OF RHODE ISLAND DEM	Full Analysis Business Checking	\$3,617
STATE OF RHODE ISLAND SUPPLEMENTAL SOCIAL SECURITY PAYMENTS	Full Analysis Business Checking	\$288,246
STATE OF RHODE ISLAND DBR RECEIPT ACCOUNT	Full Analysis Business Checking	\$75,060

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List of State Accounts

STATE OF RHODE ISLAND ERSRI PENSION PAYROLL VENDOR	Full Analysis Business Checking	\$640
STATE OF RHODE ISLAND RI STATE POLICE	Full Analysis Business Checking	\$0
STATE OF RHODE ISLAND GF NSF RDI REDEPOSIT ACCOUNT	Full Analysis Business Checking	\$261,939
STATE OF RHODE ISLAND EMPLOYEE DIRECT DEPOSIT	Full Analysis Business Checking	\$1,312
STATE OF RHODE ISLAND	Full Analysis Business Checking	\$7,671
STATE OF RI	Family Parent Account	
STATE OF RI	Commercial Checking Account	\$4,317,238
RI INDUSTRIAL BUILDING MORTGAGE FUN	Commercial Checking Account	\$39,017
RHODE ISLAND PERMANENT SCHOOL	Commercial Checking Account	\$35,102
State of Rhode Island	IntraFi Cash Service, Demand	\$2,569,800
State of Rhode Island	IntraFi Cash Service, Savings	\$3,139,250
State of Rhode Island	IntraFi Cash Service, Savings	\$2,121,311
Community Deposit Program Account	Municipal Now	\$4,526,602
State of Rhode Island	IntraFi Cash Service, Savings	\$17,631,152
State of Rhode Island Community Deposit Program	IntraFi Cash Service, Savings	\$3,792,987
State of Rhode Island Treasury	Municipal Elite Savings	\$17,388,451
STATE OF RI DIVISION OF ELDERLY AFFAIRS	Municipal Checking	\$22,582
STATE OF RHODE ISLAND EMPLOYEES RETIREMENT FUND TRUST	Municipal Checking	\$2,221,518
ADULT CORRECTIONAL INSTITUTION IMPREST CASH FUND	Municipal Checking	\$2,333
DEPARTMENT OF HUMAN SERVICES OFFICE OF CHILD SUPPORT SERVICES	Municipal Checking	\$4,378
STATE OF RHODE ISLAND RI CLEAN WATER	Municipal Checking	\$139,671
STATE OF RHODE ISLAND MUNICIPAL EMPLOYEES RETIREMENT TRUST	Municipal Checking	\$737,215
STATE OF RHODE ISLAND JUDICIAL RETIREMENT FUND TRUST	Municipal Checking	\$306,525
STATE OF RHODE ISLAND STATE POLICE RETIREMENT FUND TRUST	Municipal Checking	\$568,408
STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS	Municipal Checking	\$45,660
STATE OF RI	Municipal Checking	\$146,156
STATE OF RI	Municipal Checking	\$116,669
RI DEPARTMENT OF LABOR AND TRAINING DET BENFIT/UCFE-UCX-TRA	Municipal Checking	\$9,134,889
STATE OF RI	Municipal Checking	\$158,840
STATE OF RI INTERSURFACE TRANSPORTATION FUND	Municipal Checking	\$4,021,311
STATE OF RI CHILD SUPPORT	Municipal Checking	\$4,611,070
STATE OF RI TAX REFUND	Municipal Checking	\$4,179,900
STATE OF RHODE ISLAND TAX REFUND/ DIRECT DEPOSIT ACCOUNT	Municipal Checking	\$1,227,220
STATE OF RI CHILD SUPPORT RECEIPTS	Municipal Checking	\$0
STATE OF RHODE ISLAND HISTORICAL TAX CREDIT	Municipal Checking	\$1,065,393

Appendix B

List of State Accounts

STATE OF RHODE ISLAND SUPREME COURT REGISTRY	Municipal Checking	\$0
STATE OF RHODE ISLAND DISTRICT COURT REGISTRY	Municipal Checking	\$504
STATE OF RHODE ISLAND SUPERIOR COURT REGISTRY	Municipal Checking	\$69,403
STATE OF RHODE ISLAND COURT REGISTRY OPERATING ACCOUNT	Municipal Checking	\$2,934,401
STATE OF RHODE ISLAND NONCONTRIBUTORY JUDICIAL RETIREMENT	Municipal Checking	\$651,082
STATE OF RHODE ISLAND RI COURTS RECEIPTS ACCOUNT	Municipal Checking	\$641,603
STATE OF RHODE ISLAND FAMILY COURT REGISTRY	Municipal Checking	\$2,170
STATE OF RHODE ISLAND DOT-MISSION 360	Municipal Checking	\$2,424,197
STATE OF RHODE ISLAND NON-CONTRIBUTORY STATE POLICE	Municipal Checking	\$2,235,216
STATE OF RHODE ISLAND RIDOT RITBA	Municipal Checking	\$5,868,122
The State of Rhode Island	IntraFi Cash Service, Demand	\$45,662,369
THE STATE OF RHODE ISLAND GENERAL FUND	Gov't Deposit Money Market	\$50,698,966
STATE OF RHODE ISLAND	Business Money Market	\$8,707,059
STATE OF RHODE ISLAND	Government Deposits MMKT	\$2,733,943
GENERAL FUND INVESTMENT -FNIR		\$145,736,780
DISBURSEMENT ACCOUNT	Family Parent Account	\$58,885,817
STATE OF RHODE ISLAND	Subsidiary Account	\$63,927
JUDICIAL RETIREE HEALTH FUND	Subsidiary Account	\$107,677
STATE POLICE RETIREE HEALTH FD	Subsidiary Account	\$85,209
DISBURSEMENT ACCOUNT	Subsidiary Account	\$53,540,901
OPEB Retirees Health Clearing	Subsidiary Account	\$0
CRIME VICTIMS- EMERGENCY PAYMENTS	Subsidiary Account	\$0
WORKER'S COMPENSATION	Subsidiary Account	\$102,097
AGENCY OPERATING FUND ACCOUNT	Subsidiary Account	\$2,496,841
HEALTH FUND-ACTIVE & COBRA	Subsidiary Account	\$1,126,944
HEALTH FUND-RETIREEES	Subsidiary Account	\$939,194
HEALTH FUND-B O G RETIREES	Subsidiary Account	\$97,251
HEALTH FUND-RIPTA	Subsidiary Account	\$122,511
FLEET REPLACEMENT REVOLVING LOAN F	Subsidiary Account	\$83,773
TEACHERS RETIREE HEALTH FUND	Subsidiary Account	\$46,829
STATE OF RHODE ISLAND	Municipal Interest Checking	\$2,038,283
STATE OF RHODE ISLAND TDI ACCOUNT	PF Fixed Rate	\$5,272,797
STATE OF RHODE ISLAND TREASURY OFFICE	Municipal Interest Checking	\$181,117,676
STATE OF RHODE ISLAND	Family Parent Account	\$3,389,636
STATE OF RHODE ISLAND	Subsidiary Account	\$623,034
STATE OF RHODE ISLAND	Subsidiary Account	\$1,238,423
RI DEPT OF ENVIRONMENTAL MGT	Subsidiary Account	\$88,000
RI DEPT OF ENVIRONMENTAL MGT	Subsidiary Account	\$794,290
State of Rhode Island Community Deposits	IntraFi Cash Service, Savings	\$2,440,780
State of Rhode Island TDI	IntraFi Cash Service, Savings	\$32,929,716
State of Rhode Island RICAP	IntraFi Cash Service, Savings	\$391,619

Appendix B

List of State Accounts

State of Rhode Island ICS Demand GF	IntraFi Cash Service, Demand	\$25,798,117
State of Rhode Island RIOPEC	IntraFi Cash Service, Savings	\$2,193,634
State of Rhode Island General Fund	IntraFi Cash Service, Savings	\$18,226,036
STATE OF RHODE ISLAND	Business Money Market	\$35,701,555
STATE OF RI TAXATION CASH VAULT	Subsidiary Account	\$0
STATE OF RI COURTS CASH VAULT	Subsidiary Account	\$80,032
STATE OF RI RIVAP	Subsidiary Account	\$1,614,200
STATE OF RI CHILDRENS HEALTH ACCOUNT	Subsidiary Account	\$279,564
STATE OF RI OPIOID STEWARDSHIP	Subsidiary Account	\$824,428
STATE OF RI GENERAL FUND - FNIR	Subsidiary Account	\$268,874
STATE OF RI BOND CAPITAL	Subsidiary Account	\$79,767
STATE OF RI C/O RI PUBLIC RAIL CORP	Subsidiary Account	\$211,308
STATE OF RI FLEET REPLACEMENT REVOLVING	Subsidiary Account	\$3,252,686
STATE OF RI ACH CREDIT	Subsidiary Account	\$0
STATE OF RI TAXATION PORTAL	Subsidiary Account	\$0
STATE OF RI RICAP	Subsidiary Account	\$10,346,229
STATE OF RI TAXATION CREDIT	Subsidiary Account	\$13,258,106
STATE OF RI DMV - LOCKBOX ACCOUNT	Subsidiary Account	\$221,011
STATE OF RI DEM ACCOUNT	Subsidiary Account	\$62,913
STATE OF RI GENERAL FUND	Subsidiary Account	\$329,184
STATE OF RI HIGHWAY FUND	Subsidiary Account	\$316,278
STATE OF RI TDI FUND	Subsidiary Account	\$31,248
STATE OF RI EMPLOYEES RETIREMENT FUND	Subsidiary Account	\$9,552
STATE OF RI LOTTERY FUND	Subsidiary Account	\$26,834
STATE OF RI MUNICIPAL EMPLOYEES RETIREMENTFUNDS	Subsidiary Account	\$142,713
THE STATE OF RHODE ISLAND	Business Money Market Account	\$1,185,128

Bank Account Name (PER BANK STATEMENT)	Bank Account Name (PER TREASURY)
STATE OF RHODE ISLAND	General Fund - RECEIPT ACCT
STATE OF RHODE ISLAND STATE UNIVERSITY COLLEGE FUND	University College
STATE OF RHODE ISLAND RECORD CENTER FUND	Record Center
STATE OF RHODE ISLAND T D I RESERVE FUND	TDI RESERVE (DLT)
STATE OF RHODE ISLAND TDI-BENEFIT PAYMENT FUND	TDI BENEFIT (DLT)
STATE OF RHODE ISLAND EMPLOY & TRAINING DIVISION CLEARANCE	E.T. Clearance
STATE OF RHODE ISLAND RIDE DIVISION OF HIGHER EDUCATION	RIDE Division of Higher Education
STATE OF RHODE ISLAND LOTTERY FUND	Lottery Fund
STATE OF RHODE ISLAND DLT DISBURSEMENT ACCOUNT	TRA & JTP Benefit (DLT) DISBURSEMENT ACCT
STATE OF RHODE ISLAND STATE INFRASTRUCTURE BANK FUND	Infra Structure Bank Fund SIB "Highway"
STATE OF RHODE ISLAND REC AREA DEV FUND	Recreational Area
STATE OF RHODE ISLAND STATE OF RI HP LOCKBOX FOR RITE CARE	Rite Share
STATE OF RHODE ISLAND DEPT OF CHILDREN YOUTH AND FAMILIES COLLECTIVE SSA TRUST ACCOUNT	DCYF TRUST
STATE OF RHODE ISLAND RI DEPT OF CHILDREN YOUTH AND FAMILIES COLLECTIVE DEDICATED SSA TRUST ACCOUNT	DCYF Collective Dedicated SSA Trust
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO	BHDDH - HOSPITALS
STATE OF RHODE ISLAND RI COMM LIVING AND SUP RICLASS	BHDDH - RICLASS
STATE OF RHODE ISLAND DEM SENIOR FARMERS MARKET	DEM SENIOR FARMERS MARKET
STATE OF RHODE ISLAND DBR RECEIPT ACCOUNT	DEPARTMENT OF BUSINESS REGULATIONS
STATE OF RHODE ISLAND LARGE SYSTEMSN INITIATIVE FUND	LARGE SYSTEMS INITIATIVE FUND
STATE OF RHODE ISLAND CUSTODIAL FUNDS CLEARING ACCT. ADULT CORRECTIONALD INSTS	DOC CUSTODIAL FUNDS
STATE OF RHODE ISLAND GF NSF RDI REDEPOSIT ACCOUNT	GF RDI Account
STATE OF RHODE ISLAND DOH EWIC ACCOUNT	E-WIC
	Internal Service Funds - Central Utilities
	Industrial Building Mtg Fund
	Permanent School
STATE OF RI INTERSURFACE TRANSPORTATION FUND	DOT-Intersurface Transport. Fund "Highway"
STATE OF RI	Auto Equipment
STATE OF RI	Correctional Industries
RI DEPARTMENT OF LABOR AND TRAINING DET BENEFIT/UCFE-UCX-TRA	Employment Security Benefit (DLT) - UI DLT
STATE OF RHODE ISLAND RI CLEAN WATER	RI Clean Water Act
STATE OF RI	Bond Capital
STATE OF RI CHILD SUPPORT	Child Support
STATE OF RHODE ISLAND HISTORICAL TAX CREDIT	Historic Tax Credit Financing Fund
STATE OF RHODE ISLAND COURT REGISTRY OPERATING ACCOUNT	Court Registry Operating Account
STATE OF RHODE ISLAND DOT-MISSION 360	DOT - Mission 360
STATE OF RHODE ISLAND RIDOT RITBA	DOT RITBA TOLLS
STATE OF RHODE ISLAND RI COURTS RECEIPTS ACCOUNT	RI COURTS RECEIPTS ACCT
WORKER'S COMPENSATION STATE OF RHODE ISLAND	Workers Comp / Assessed Fringe Benefits
HEALTH FUND-ACTIVE & COBRA	Health - Active Employees
DISBURSEMENT ACCOUNT	RI Disbursement Pooled Cash
HEALTH FUND-RETIREES	Health - Retirees
HEALTH FUND-B O G RETIREES	Health - BOG Retirees
HEALTH FUND-RIPTA	Health - RIPTA Actives & Retirees
FLEET REPLACEMENT REVOLVING LOAN FUND	Fleet Replacement Revolving Loan Fund
TEACHERS RETIREE HEALTH FUND	Health - Teacher Retirees
STATE POLICE RETIREE HEALTH FD	Health - State Police Retiree
STATE OF RHODE ISLAND	Health - Legislative Retiree
JUDICIAL RETIREE HEALTH FUND	Health - Judicial Retiree
	WIC PROGRAM -Security State Bank
	E.T. TRUST
STATE OF RHODE ISLAND	Recreational Area
STATE OF RHODE ISLAND	DBR REAL ESTATE ESCROW DEPOSIT
STATE OF RHODE ISLAND	Dredging Fund
STATE OF RHODE ISLAND	RICAP Fund
STATE OF RI TAXATION CREDIT	GF - Taxation Credit
STATE OF RI C/O RI PUBLIC RAIL CORP	RI Public Rail Corporation
STATE OF RHODE ISLAND DEPT OF HIMAN SERVICES	Department of Human Services Payroll - DHS - CCAP
STATE OF RHODE ISLAND SPECIAL PAYROLL ACCOUNT	Special Payroll / Police & Fire Widows
STATE OF RHODE ISLAND GENERAL PUBLIC ASSISTANCE	General Public Assistance - DHS - GPA Semi-Monthly
STATE OF RHODE ISLAND SUPPORTIVE SERVICES PAYROLL	Supportive Services Payroll - DHS - TANF Support
STATE OF RHODE ISLAND SUPPLEMENTAL SOCIAL SECURITY PAYMENTS	DHS - SSI BENEFITS - DHS - SSP
STATE OF RHODE ISLAND PENSION C	Pension C
STATE OF RHODE ISLAND PENSION DIRECT DEPOSIT ACCOUNT	Pension Direct Deposit
STATE OF RHODE ISLAND PAYROLL A ACCOUNT	Payroll A
STATE OF RHODE ISLAND EMPLOYEE DIRECT DEPOSIT	Employee Direct Deposit
STATE OF RHODE ISLAND EMPLOYMENT & TRAINING DIV COMBINED TAX ACCOUNT	ES COMBINED TAX
STATE OF RI TAX REFUND	Tax Refund
STATE OF RHODE ISLAND TAX REFUND/DIRECT DEPOSIT ACCOUNT	Tax Refund _ DIRECT DEPOSIT
STATE OF RI CHILD SUPPORT RECEIPTS	Child Support
STATE OF RHODE ISLAND DEPT OF EMPLOY SEC & TRAINING	RI DEPT OF LABOR & TRAINING
STATE OF RHODE ISLAND ADULT CORRECTIONAL INSTITUTE	ACI INMATE ACCOUNTS UNIT
STATE OF RHODE ISLAND ACI CASH BAIL FUND	ACI CASH BAIL FUND
STATE OF RHODE ISLAND DHS-IMPREST D-GPA-DAILY	GPA DAILY
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO PATIENT ACCOUNT	ZAMBRANO PATIENT ACCT
STATE OF RHODE ISLAND DEPT OF CHILDREN AND FAMILIES	DCYF PETTY CASH
STATE OF RHODE ISLAND IMPREST B PUBLIC ASSISTANCE	IMPREST B
STATE OF RHODE ISLAND IMPREST A PUBLIC ASSISTANCE	IMPREST A
	STATE OF RI TAX LEIN ESCROW
	ELEANOR SLATER PATIENTS ACCOUNT
STATE OF RHODE ISLAND DCYF IMPREST CASH	RI TRAINING SCHOOLS IMPREST CASH
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL IMPREST CASH FUND	ELEANOR SLATER IMPREST CASH

STATE OF RHODE ISLAND STATE LOTTERY PRIZE FUND	DAVIES STUDENT ACTIVITIES FUND
STATE OF RHODE ISLAND ELEANOR SLATER HOSPITAL ZAMBRANO PETTY CASH ACCOUNT	RI LOTTERY PRIZE FUND
STATE OF RHODE ISLAND RI COMM LIVING AND SUP SOUTH	STATE OF RI ELEANOR SLATER/ZAMBARANO
STATE OF RHODE ISLAND RI COMM LIVING AND SUP CENTRAL	
STATE OF RHODE ISLAND DCYF VEC PROGRAM	
STATE OF RHODE ISLAND DEM	
STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS	CONTROLLERS ACCOUNT
STATE OF RHODE ISLAND UNCLAIMED PROPERTY CLEARING	UCP
STATE OF RHODE ISLAND RHODE ISLAND LOTTERY F/B/O LOTTERY	
STATE OF RI TAXATION CASH VAULT	
BOND CAPITAL ACCOUNT	45887 BOND CAPITAL
STATE OF RHODE ISLAND - CCDL 1999A	74924 STATE OF RHODE ISLAND - CCDL 1999A
STATE OF RHODE ISLAND - GO CCDL 2012B	31736 STATE OF RHODE ISLAND - GO CCDL 2012B
STATE OF RHODE ISLAND - GO CCDL 2013A	75038 STATE OF RHODE ISLAND - GO CCDL 2013A
STATE OF RHODE ISLAND - GO CCDL 2014C	40040 STATE OF RHODE ISLAND - GO CCDL 2014C
STATE OF RHODE ISLAND - CCDL 2016A	69807 STATE OF RHODE ISLAND - CCDL 2016A
STATE OF RHODE ISLAND - CCDL 2016B	69823 STATE OF RHODE ISLAND - CCDL 2016B
STATE OF RHODE ISLAND - GO CCDL 2018A	26011 STATE OF RHODE ISLAND - GO CCDL 2018A
STATE OF RHODE ISLAND - GO CCDL 2018B	26130 STATE OF RHODE ISLAND - GO CCDL 2018B
STATE OF RHODE ISLAND - CCDL 2019 A	81645 STATE OF RHODE ISLAND - CCDL 2019 A
STATE OF RHODE ISLAND - CCDL 2019 B	81648 STATE OF RHODE ISLAND - CCDL 2019 B
STATE OF RHODE ISLAND - CLEAN WATER	67340 STATE OF RHODE ISLAND - CLEAN WATER
ERS SHORT TERM	45879 ERS SHORT TERM
GENERAL FUND	63760 GENERAL FUND
GENERAL FUND HISTORIC PRESERVATION	63638 GENERAL FUND HISTORIC PRESERVATION
HEALTH INSURANCE FUND	68033 HEALTH INSURANCE FUND
HIGHWAY FUND	63703 HIGHWAY FUND
HISTORIC TAX CREDIT	63620 HISTORIC TAX CREDIT
INDUSTRIAL BLDG & MRG INS	75293 INDUSTRIAL BLDG & MRG INS
STATE LOTTERY	63737 STATE LOTTERY
MERS SHORT TERM	45884 MERS SHORT TERM
PERMANENT SCHOOL	67233 PERMANENT SCHOOL
RETIREE HEALTH FUND	74999 RETIREE HEALTH FUND
STATE OF RHODE ISLAND - RICAP	63752 STATE OF RHODE ISLAND - RICAP
STATE OF RHODE ISLAND - CCDL 2019C	23616 STATE OF RHODE ISLAND - CCDL 2019C
STATE OF RHODE ISLAND - CCDL 2019D	23618 STATE OF RHODE ISLAND - CCDL 2019D
STATE OF RHODE ISLAND - CCDL2021A	05151 STATE OF RHODE ISLAND - CCDL2021A
STATE OF RHODE ISLAND - CCDL2021B	05155 STATE OF RHODE ISLAND - CCDL2021B
STATE OF RHODE ISLAND - CCDL 2021F	27073 STATE OF RHODE ISLAND - CCDL 2021F
STATE OF RHODE ISLAND - CCDL 2021E	27075 STATE OF RHODE ISLAND - CCDL 2021E
STATE OF RHODE ISLAND - CCDL 2022A	88043 STATE OF RHODE ISLAND - CCDL 2022A
STATE OF RHODE ISLAND - CCDL 2022B	88048 STATE OF RHODE ISLAND - CCDL 2022B
LARGE SYSTEMS INITIATIVES FUND	34574 LARGE SYSTEMS INITIATIVES FUND
STATE OF RHODE ISLAND - CCDL 2023A	44656 STATE OF RHODE ISLAND - CCDL 2023A
STATE OF RHODE ISLAND - CCDL 2023B	44658 STATE OF RHODE ISLAND - CCDL 2023B
TEACHER RETIREE HEALTH	75426 TEACHER RETIREE HEALTH
TDI RESERVE	63711 TDI RESERVE
UNIVERSITY COLLEGE FUND	75186 UNIVERSITY COLLEGE FUND
WORKERS COMP/ASSESSED FRINGE BENEFITS	67225 WORKERS COMP/ASSESSED FRINGE BENEFITS
RHODE ISLAND - STATE GENERAL FUND	915 RHODE ISLAND - STATE GENERAL FUND
RHODE ISLAND - CAPITAL EXPENDITURES FUND (RICAP)	916 RHODE ISLAND - CAPITAL EXPENDITURES FUND (RICAP)
NonCont Judicial Retiree investment	NonCont Judicial Retiree investment
General Fund Investment	General Fund Investment
TDI Investment	TDI Investment
Highway ISTE Investment	Highway ISTE Investment
Lottery Investment	Lottery Investment
Bond Capital Investment	Bond Capital Investment
ERS Investment	ERS Investment
MERS Investment	MERS Investment
Auto Equipment Investment	Auto Equipment Investment
RI Industrial Fund Investment	RI Industrial Fund Investment
Clean Water Investment	Clean Water Investment
General Fund Hava Investment	General Fund Hava Investment
HIF Active Investment	HIF Active Investment
University College Investment	University College Investment
RICAP Investment	RICAP Investment
Permanent School Investment	Permanent School Investment
Fleet Replacement Revolving Investment	Fleet Replacement Revolving Investment
Health Bog Retiree Investment	Health Bog Retiree Investment
Health Fund Retirees Investment	Health Fund Retirees Investment
Health Ripta Investment	Health Ripta Investment
Health Ripta Investment	Health Ripta Investment
Teachers Retiree Health Investment	Teachers Retiree Health Investment
Historical Preservation Investment	Historical Preservation Investment
State Police Retiree Health Investment	State Police Retiree Health Investment
Legislative Retiree Health Investment	Legislative Retiree Health Investment
Judicial Retiree Health Investment	Judicial Retiree Health Investment
Historic Tax Credit Investment	Historic Tax Credit Investment
NonCont ST Police Retiree investment	NonCont ST Police Retiree investment
BOND CAPITAL	BOND CAPITAL
EMPLOYEES RETIREMENT FUND	EMPLOYEES RETIREMENT FUND
FLEET REPLACEMENT	FLEET REPLACEMENT
GENERAL FUND	GENERAL FUND

HIGHWAY FUND
 LOTTERY FUND
 MUNICIPAL ERS INVESTMENT FUND
 RICAP
 TDI FUND
 GENERAL FUND INVESTMENT FNIR
 ERS INVESTMENT FUND
 LOTTERY INVESTMENT FUND
 GENERAL FUND INVESTMENT FUND
 TDI INVESTMENT ACCOUNT
 RICAP INVESTMENT ACCOUNT
 ICS DEMAND GF
 ICS MUNICIPAL CHECKING
 ICS MUNICIPAL CHECKING
 STATE OF RI RICAP
 TDI ICS HOLDING ACCOUNT
 WTC FLEX BUSINESS MONEY MARKET
 STATE OF RHODE ISLAND
 STATE OF RHODE ISLAND GF FUND
 STATE OF RHODE ISLAND TDI FUND
 STATE OF RI OFFICE OF THE GENERAL TREASURER MM
 BankLocal
 Business Premier Plus MMKT
 IntraFi Investments Sweep
 General Fund
 Community Deposit Program
 STATE OF RHODE ISLAND
 STATE OF RHODE ISLAND
 STATE OF RHODE ISLAND
 BankLocal
 BankLocal

HIGHWAY FUND
 LOTTERY FUND
 MUNICIPAL ERS INVESTMENT FUND
 RICAP
 TDI FUND
 GENERAL FUND INVESTMENT FNIR
 ERS INVESTMENT FUND
 LOTTERY INVESTMENT FUND
 GENERAL FUND INVESTMENT FUND
 TDI INVESTMENT ACCOUNT
 RICAP INVESTMENT ACCOUNT
 ICS DEMAND GF
 ICS MUNICIPAL CHECKING
 ICS MUNICIPAL CHECKING
 STATE OF RI RICAP
 TDI ICS HOLDING ACCOUNT
 WTC FLEX BUSINESS MONEY MARKET
 STATE OF RHODE ISLAND TDI FUND
 STATE OF RHODE ISLAND GF FUND
 STATE OF RHODE ISLAND TDI FUND
 STATE OF RHODE ISLAND GF FUND
 Bristol BankLocal TDI
 Business Premier Plus MMKT TDI
 IntraFi Investments Sweep TDI
 General Fund
 BankLocal TDI
 STATE OF RHODE ISLAND
 STATE OF RHODE ISLAND GF FUND
 STATE OF RHODE ISLAND TDI FUND
 BankLocal TDI
 BankLocal TDI



CHA-RI Vaccine Purchasing Program c/o KidsVax.org® | P.O. Box 1885 | Concord, NH 03302-1885 |
Tel 1-855-543-7829 | Fax: 1-855-543-7329 | www.cha-ri.org

Filed: 04/23/25
Reference: [REDACTED]

CHA-RI Remittance Form

Report for: 2024/2025 Q4 (Jan - Mar)

Due: 04/30/25

Federal EIN: [REDACTED]

Company Name: [REDACTED]

	Lives	Rate	Assessment
CHA-RI Resident Child Contribution Covered Lives Reported:	167	\$9.20	\$1,536.40
Assessment due			\$1,536.40
Interest for late filing ((0.000493151) x (# of days past due) x (Assessment Due)):			\$0.00
Total Due			\$1,536.40

Please wire or ACH transaction payment in full as follows:

ABA #: [REDACTED]

Account #: [REDACTED]

Payer Name: -----

Payer Fed ID #: xx-xxxxxxx

Indicate if payment includes multiple payers or invoices

If unable to pay via wire or ACH transaction as stated above, please make check payable to:

Rhode Island Children's Health Account

mail all payments and correspondence to:

USPS:

State of RI-Children's Health Account
Dept #111061
PO Box 9718
Providence, RI, 02940-9718

UPS/Fed Ex requiring a street address:

State of RI-Children's Health
Account c/o [REDACTED]
Lockbox Payments
436 Slater Road
New Brittan, CT 06053

The Taxpayer ID for CHA-RI is [REDACTED]



Rhode Island Vaccine Purchasing Program c/o KidsVax.org® | P.O. Box 1885 | Concord, NH 03302-1885 |
Tel 1-855-543-7829 | Fax: 1-855-543-7329 | www.RIvaccine.org

Filed: 04/03/25
Reference: [REDACTED]

RIVAP Remittance Form

Report for: 2024/2025 Q4 (Jan - Mar)

Due: 04/30/25

Federal EIN: [REDACTED]

Company Name: [REDACTED]

	Lives	Rate	Assessment
RIVAP Resident Child Covered Lives Reported:	5,060	\$23.16	\$117,189.60
RIVAP Resident Adult Covered Lives Reported:	373,945	\$5.04	\$1,884,682.80
Assessment due			\$2,001,872.40
Interest for late filing ((0.000493151) x (# of days past due) x (Assessment Due)):			\$0.00
Total Due			\$2,001,872.40

Please wire or ACH transaction payment in full as follows:

ABA #: [REDACTED]

Account #: [REDACTED]

Payer Name: -----

Payer Fed ID #: xxxxxxxxxx

Indicate if payment includes multiple payers or invoices

If unable to pay via wire or ACH transaction as stated above, please make check payable to:

Rhode Island Vaccine Assessment Program

mail all payments and correspondence to:

USPS:

Rhode Island Vaccine Assessment Program
Dept # 111044
PO Box 9718
Providence, RI, 02940-9718

UPS/Fed Ex requiring a street address:

Rhode Island Vaccine Assessment Program
c/o [REDACTED]
Lockbox Payments
436 Slater Road
New Brittan, CT 06053

The Taxpayer ID for RIVAP is [REDACTED]



RHODE ISLAND DEPARTMENT OF REVENUE
DIVISION OF MOTOR VEHICLES
REGISTRATION RENEWAL

Lockbox 4 - DMV Sample Vehicle Registration Renewal

RENEWAL APPLICATION MUST BE MAILED OR RENEWED ONLINE AT WWW.DMV.RI.GOV
- ALLOW 2 TO 4 WEEKS FOR PROCESSING BY MAIL OR ONLINE -

INSTRUCTIONS:

1. Insurance information **MUST** be completed in order to process. Renewal application will be returned if Policy Number, Insurance Company Name (not insurance agent) and effective dates are not completed in full.
2. If your application is marked "unpaid taxes," it **MUST** be stamped by the tax collector of the community indicated.
3. Insert this completed form with check or money order, payable to: **Division of Motor Vehicles** into the envelope provided. Be sure the form is inserted correctly into the envelope provided.
4. Registration number **MUST** be indicated on the lower-left hand corner of the check or money order. **DO NOT MAIL CASH.** Temporary or third-party checks are **NOT** accepted.
5. As per the RI law known as the Motor Vehicle Reparations Act, you cannot operate a motor vehicle or allow any motor vehicle to be operated unless such motor vehicle is covered for financial security. Penalties for failure to comply with the provisions of this act may result in fines and/or suspension of your license and registration.

RENEWAL APPLICATION SHOULD BE MAILED BY THE 15TH OF EACH MONTH

YOUR SIGNATURE IS REQUIRED IN ORDER TO PROCESS YOUR RENEWAL APPLICATION

Leased Vehicles: Please contact your leasing company first as some companies pay the registration fees as part of the lease.

REGISTRATION EXPIRES ON: 06/30/2025

PLATE: PASS/1ZZ45Z **TAX TOWN:** NEWPORT

REGISTRATION FEE: \$112.50

PLATE DESIGN: OCEAN

Insurance Company: _____
Policy Number: _____
Effective Dates: FROM _____ TO _____

If you need to update your address, please visit the DMV website:
www.dmv.ri.gov

I, the undersigned, hereby make application to register the described vehicle for use shown on this form, and as part of my application declare that I am the owner and that the following information is true to the best of my knowledge and belief. I certify under penalty of perjury that I have read the statement on the reverse side and will abide by conditions stated herein.

I hereby certify knowledge of applicable Federal and State motor carrier safety regulations and laws and declare that all operations will be conducted in compliance with such requirements.

EXCEPT AS AUTHORIZED BY LAW, THE DMV WILL NOT DISCLOSE PERSONAL INFORMATION WITHOUT YOUR CONSENT.

DO YOU CONSENT TO SUCH DISCLOSURE? ☐ YES ☐ NO

SIGNATURE

RI DMV – RIMS
PO BOX 9719
Providence, RI 02940-9719

RHODE ISLAND BOAT REGISTRATION RENEWAL REMINDER:

**Enclosed, please find your current RI boat registration renewal.
Please complete the following steps below prior to submitting your renewal.**

- Please verify your address is correct below and make any corrections.
- If paying by check, write the Rhode Island Boat Number on the check and registered owner must sign the renewal.
 - Check or money order payable to "State of RI/DEM," do not send cash.
 - Please use enclosed envelope for boat registration renewal only.
- Please note outboard motors are not reflected on this renewal certificate.
- Do not write outboard motor information. Outboard motors are registered with a separate certificate.

FAILURE TO FOLLOW ABOVE INSTRUCTIONS MAY DELAY PROCESSING TIME.

2025: BOAT REGISTRATIONS ARE MOVING TO THE RHODE ISLAND OUTDOORS (RIO) SYSTEM

SAVE TIME! Renew online at dem.ri.gov/renewboat

***** IMPORTANT: YOU WILL NEED YOUR APPL. NO. (BELOW) AND THE ZIP CODE ON FILE WITH DEM (USED BELOW) *****

PLEASE NOTE: IF BOAT IS SOLD, PLEASE MARK SOLD AND RETURN
Detach and return the bottom portion with a Check or Money Order payable to "State of RI/DEM"
(See reverse side for additional information)

RHODE ISLAND DEPARTMENT OF ENVIRONMENTAL MANAGEMENT Office of Boat Registration (Room 360: M-F 8:30 AM – 3:30 PM) 235 Promenade St., Providence, RI 02908 Renew online: dem.ri.gov/renewboat BOAT REGISTRATION APPLICATION PRINT MISSING INFORMATION AND CORRECTIONS					REGISTRATION FEE IS FOR TWO (2) YEARS																
					TRAN ID (OFFICE USE ONLY)				DECAL NO. (OFFICE USE ONLY)				TIN (OFFICE USE ONLY)								
					INBOARD 1/O MOTOR MANUFACTURER #1				HORSEPOWER				MOTOR #1 SERIAL NO.								
					INBOARD 1/O MOTOR MANUFACTURER #2				HORSEPOWER												
APPL. NO.		APPL. FEE (SEE BACK)		BOAT NUMBER		DOCUMENTED															
						<input type="checkbox"/>															
LAST NAME				FIRST				M.I.				TYPE		HULL		USE		PROPULSION		FUEL	
STREET ADDRESS												1 OPEN 7 AIR BOAT 1 FIBERGLASS 6 ALU 2 CABIN 8 AUX SAIL 2 WOOD 7 PLASTIC 3 HOUSE 9 INFLATE 3 METAL 8 RUBBER 4 CANOE 10 PADDLE 4 INFLATABLE /VINYL 5 ROWBOAT 11 PVC 5 OTHER /CANVAS		1 PLEASURE 5 OTHER 1 INBOARD 7 AIR THRUST 1 GAS 2 DEALER 6 RENT 2 OUTBOARD 8 MANUAL 2 ELECTRIC 3 I/O 7 CHARTER 3 I/O 9 PROP 3 DIESEL 4 SAIL ONLY 8 COM FISH 4 SAIL ONLY 10 WATER JET 4 OTHER 5 SAIL/INBOARD 11 POD D 5 SAIL/INBOARD 12 STERN DR 6 SAIL/OUTBOARD 13 OTHER							
CITY/TOWN				STATE		ZIP		VESSEL NAME (DOCUMENTATION ONLY)				PORT OF DOCUMENTATION (DOCUMENTATION ONLY)									
DATE OF BIRTH		PRINCIPAL MOORING AREA (BE SPECIFIC)						I, the undersigned, hereby make an application to register the above vessel and, as part of my application, declare that I am the owner and have verified all information, including the vessel hull identification number (HIN). I declare under penalty of perjury that all statements made on this application are true and complete to the best of my knowledge and belief.													
MAKE OF BOAT		COLOR		LENGTH		YEAR										HULL IDENTIFICATION NO.					
										OWNER'S SIGNATURE				DATE		VALID UNTIL 2/28/2027					



DEPARTMENT OF ADMINISTRATION Enterprise Policy

OAC-A-15a-2019

OFFICE OF ACCOUNTS AND CONTROL

Imprest Checking Accounts and Related Controls Policy

Date of Last Revision 05/17/2019

Steven Blazer
(401) 222-2267
steven.blazer@doa.ri.gov

1. Purpose

- a. The purpose of an imprest checking account is to enable a State department or agency, in very limited circumstances, to pay for unique, operating necessities which cannot be administered economically and efficiently through the State's customary procurement and payable processes.

2. Applicability

- a. This policy/procedure applies to State departments and agencies that 1) wish to establish a new imprest checking account and/or 2) are currently managing one or more imprest checking accounts.

3. Procedures for Compliance

- a. Imprest checking account establishment
 - (i.) An agency seeking to have an imprest checking account established shall first submit Form ICA-1, Application for New Imprest Checking Account, to the State Controller. This form is available on the Controller's website.
 - (ii.) The Controller may accept, deny, or return the form with a request for more information, indicating his/her reason(s) for the decision.
 - (iii.) If the Controller determines that a bona fide need for the imprest checking account exists, and if he/she is assured that the agency has adequate accounting controls in place for administering such an account, then the Office of Accounts and Control will set up a new RI-FANS account number for the account and indicate it on the form. The agency in the new account number will be the agency administering the account, and the natural account will be 101140. Accounts and Control will then send the form ICA-1 to the General Treasurer's Office for approval and completion of the General Treasurer's section of Form ICA-1.
 - (iv.) Once all necessary forms are completed and approved, Accounts and Control will set up a new account payable site with the designated custodial bank to allow for periodic replenishment of the imprest checking account and will so inform the CFO of the agency.
 - (v.) Next a RI-FANS CSH journal entry will be created debiting the new RI-FANS imprest account number and crediting General Fund cash for the amount to be deposited into the new imprest checking account. The CSH entry will serve as

authorization for the General Treasurer to ACH this amount of money from the State's General Fund into the new imprest checking account.

- (vi.) As a one-time requirement, the State Controller has determined that the custodians of all the State's imprest checking accounts existing as of January 31, 2019 must submit a new form ICA-1 to his/her attention by April 1, 2019 in order to renew the authorizations for these accounts.
- (vii.) If the Controller, upon review of an agency's new ICA-1 form and after consultation with the agency, determines that an imprest checking account should be dissolved, he/she will instruct the Supervisor of Fiscal Services to create a RI-FANS journal entry to transfer the balance currently in the account (minus the amount of outstanding checks) into the General Fund. He/she will also instruct the Cash Manager in the General Treasurer's Office to close out the bank account once the bank informs him/her that its balance is now zero.

b. Operating guidelines

- (i.) After the initial establishment of an imprest checking account, an agency shall submit periodic vouchers for expenditure reimbursement to the Accounts Payable Section of Accounts and Control. All checking account reimbursements generated through the Accounts Payable process shall be made by wire transfer and shall be made payable to the imprest checking account and not to the order of the account's disbursing officers or to any other individual.
- (ii.) Copies of all supporting vendor invoices or statements must be attached to all reimbursement vouchers submitted to the Accounts Payable Section of Accounts and Control. Such vouchers must be signed by the agency's CFO, and the most recent reconciliation signed by the agency imprest checking account reconciler must be included.
- (iii.) An imprest checking account may not be used to provide payroll advances, mileage reimbursements, or similar payments to State employees or to any other individual or entity. An imprest checking account may not be used to reimburse a State employee for payments he/she makes out of his/her own resources for State purposes, except in the rare case of an emergency where the employee had to make such a payment as a last resort. It is preferable that employees make use of a State-issued P-card in such circumstances.
- (iv.) In cases where an imprest checking account has been used to reimburse an employee for emergency payments out of his/her own resources, the employee must provide an original vendor invoice as backup, showing the date, the dollar amount, and a description of the purchase, at a minimum.
- (v.) An imprest checking account cannot be used to make checks payable to any authorized signer on the account.
- (vi.) Effective March 15, 2019, two authorized signatures are required on checks for any disbursements from an imprest checking account, regardless of dollar amount. Effective May 1, 2019, in accordance with this requirement, all agencies that have imprest checking accounts must order and begin to use new check stock bearing language that 1) two authorized signatures are required, and 2) the check is void

after ninety days. All old remaining check stock must be shredded, and the agency CFO must send a copy of the certificate of destruction to the attention of the State Controller.

- (vii.) A II imprest checking accounts must utilize a positive pay feature in conjunction with the associated bank. The General Treasurer's Office will coordinate the initial establishment of the positive pay feature on each account.
- (viii.) An agency may not establish an imprest checking account to act as a representative payee for benefits for individuals who cannot manage or direct the management of their own Social Security or SSI benefits. Such checking accounts shall instead be set up and administered by the General Treasurer.
- (ix.) An imprest checking account may not be used to pay any party to whom the State is obligated to issue a Form 1099.

c. Imprest checking account reconciliation

- (i.) The book balance in an imprest checking account must be reconciled monthly to its associated bank balance by an individual other than the authorized signers of the account. The bank shall send all bank statements for the account directly to this independent account reconciler and not to anyone with authorized access to that imprest checking account. Completed bank account reconciliations shall be reviewed by the agency CFO, unless the CFO is one of the authorized signers of the account. In such a case, reconciliations shall be reviewed by the agency director. The review shall be documented.
- (ii.) Each year, all agency imprest checking account reconcilers shall complete and submit to the Supervising Accountant within Accounts and Control a reconciliation of the book balance to the bank balance of each of their agency's imprest checking accounts as of June 30. Said reconciliations are due at Accounts and Control by no later than July 31, with a corresponding Imprest Checking Account - Form A-I SR (available on the Controller's website) for each separate imprest checking account.
- (iii.) Completed reconciliations and bank statements, as well as check copies, shall be retained for at least six years.

d. Changes in imprest checking account management

- (i.) If there is a change in the custody or location of an imprest checking account, both the former and the successor custodians must sign a statement (an imprest checking account reconciliation report is acceptable) showing the exact financial status of the fund at the date of change. The administering agency shall transmit a copy of the signed statement to both the State Controller and the General Treasurer.

e. Imprest checking account dissolution

- (i.) The State Controller must be notified whenever an agency seeks to dissolve an existing imprest checking account. In such a case, the agency CFO must explain the reason(s) for its request and include a bank reconciliation of the checking account as of the time of notification.

- (ii.) To dissolve an imprest checking account, the disbursing officer shall first transmit any cash remaining in the account to Accounts and Control in the form of a check payable to the General Treasurer. The agency shall at the same time submit its final request for expenditure reimbursement to the Accounts Payable section of Accounts and Control. The accounts payable process will subsequently cause the final imprest checking account expenditures to post to the appropriate agency RI-FANS accounts, as usual. As an exception to the usual practice, however, the CFO must specify that this final reimbursement generated through Accounts Payable is to be in the form of a check made payable to the General Treasurer.
 - (iii.) When Treasury receives this check, it will transmit it to Accounts and Control, which will then deposit this check into the General Fund, together with the one designed to clear out the remaining cash in the checking account. The sum of these two checks should equal the balance of the imprest checking account in RI-FANS (if the amounts of the two checks together do not add up to the balance in the RI-FANS account, then the CFO of the agency must provide an explanation). The cash receipts journal prepared by Accounts and Control to book the deposit will debit the General Fund cash account for the total amount of the two checks being deposited, with the corresponding credit being to the imprest checking account in RI-FANS. This should bring the balance in the RI-FANS imprest checking account to zero, after which Accounts and Control will inactivate the imprest checking account number in RI-FANS and instruct the Cash Manager in the General Treasurer's Office to formally close the bank account.
- f. Audits of imprest checking accounts
- (i.) All imprest checking accounts are subject to audit at any time by the State Controller, the Office of Internal Audit, and the Office of the Auditor General.
 - (ii.) By July 31 of each year, the Cash Manager within the General Treasurer's Office will submit a list of the State's imprest checking accounts, and their respective bank balances as of June 30, to the Supervising Accountant within Accounts and Control. The Supervising Accountant will compare this list to the individual annual reconciliations, also due on July 31, to identify discrepancies or missing reports.
 - (iii.) The Cash Manager will send this year-end checking account listing to all agency CFO's with a request that they confirm to the State Controller that they are aware of the existence of the accounts for which they are responsible. The CF O's must inform their agency directors, the Cash Manager, and the State Controller of any checking accounts on this list whose existence they were not aware of previously, or of any imprest checking accounts they know to be in use at their agency, but which do not appear on the Cash Manager's checking account list.

4. Repercussions for Noncompliance

- a. Agencies that do not meet the deadline of April 1, 2019 for submitting a new form ICA-1 to the State Controller to renew the authorization for their currently existing imprest checking accounts will have their imprest checking accounts suspended and their

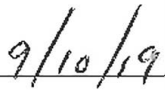
balances sent to the General Treasurer for deposit into the General Fund until such time as the new ICA-1 form is received.

- b. If agencies engage in any of the practices prohibited in the operating guidelines section of this policy/procedure, and a satisfactory resolution of the infraction does not occur within a month of discovery, the Cash Manager within the General Treasurer's Office will be instructed to close the bank account. Agencies that do not submit a year-end account reconciliation to the Supervising Accountant in Accounts and Control within a month after the July 31 deadline will have their imprest checking accounts suspended until the reconciliation is received.
- c. Agencies that do not include a proper account reconciliation when requesting a General Fund reimbursement of their imprest checking account disbursements will not receive such reimbursement until a proper reconciliation is received by the Accounts Payable Section of Accounts and Control.

5. Signatures



State Controller



Date



Director of Administration



Date